

EVOLUTION OF ADDICTION AND TREATMENT: HISTORY AND IMPACT

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The ASAM Review Course of Addiction Medicine

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Financial Disclosures

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Medical Director - Georgia Professionals Health Program, Inc:
Salary

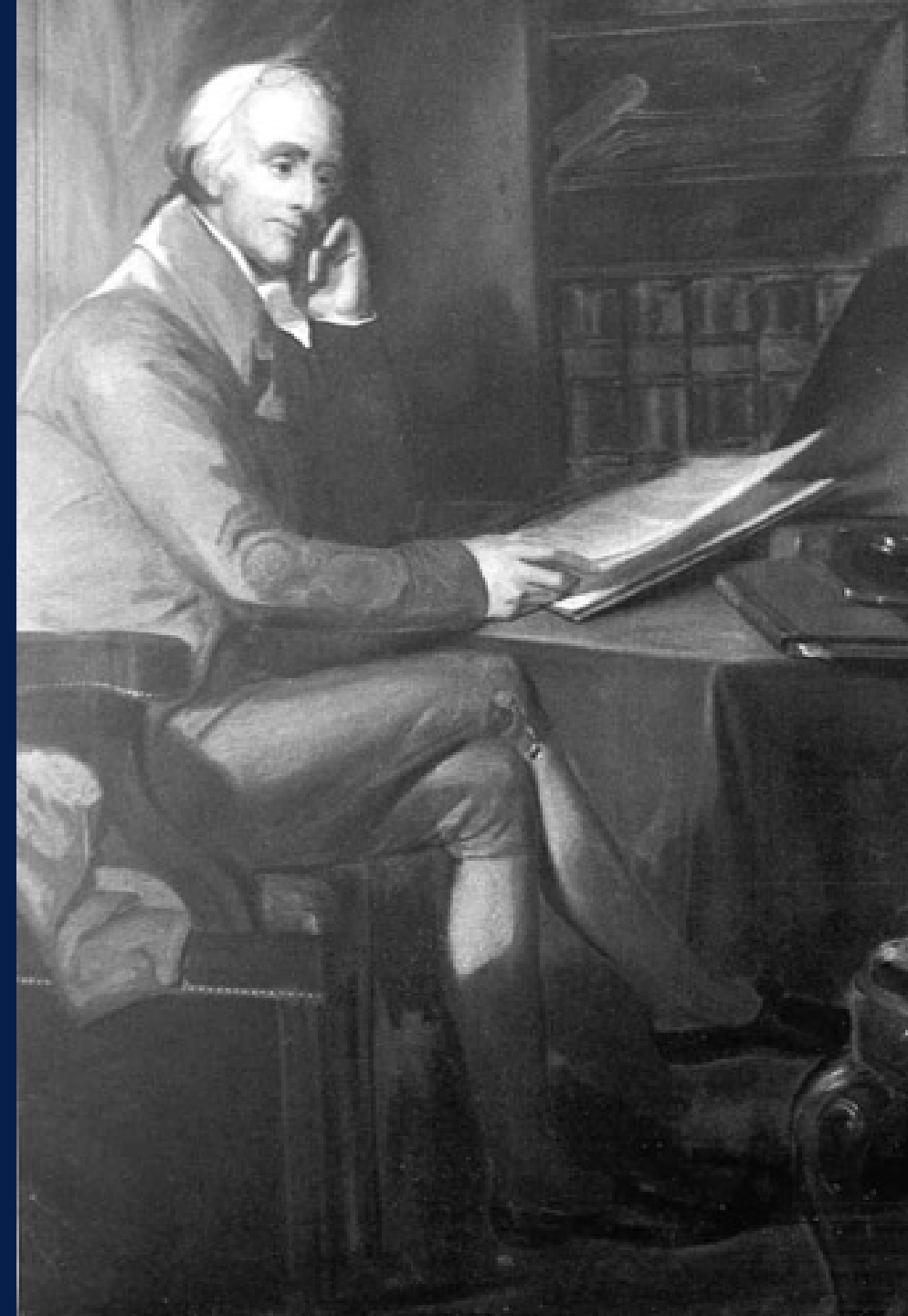
Principal - Earley Consultancy, LLC: Salary

DynamiCare Health, Inc: Stock

Addiction Treatment History

Benjamin Rush, M.D.

- Published: *Inquiry into the Effects of Ardent Spirits on the Human Mind and Body* in 1784
- Asserted that alcohol was the causal agent in alcoholism
- Asserted that loss of control over drinking is the characteristic symptom of inebriety
- Stated that total abstinence from alcohol was the only effective cure
- Called for creation of a “Sober House” for the care of the confirmed drunkard (1810)



The 19th Century

- In the early 1800's, an increase in grain supply, rapid crop spoilage, and an emerging entrepreneurial spirit increased the supply of distilled alcohol.
- As a result, drinkers increased their consumption of distilled alcohol.
- Definitive data is missing, but alcoholism seemed to increase, especially in urban areas.
- In the 1840s, the temperance movement took on the alcohol problem.

New York State Inebriate Asylum

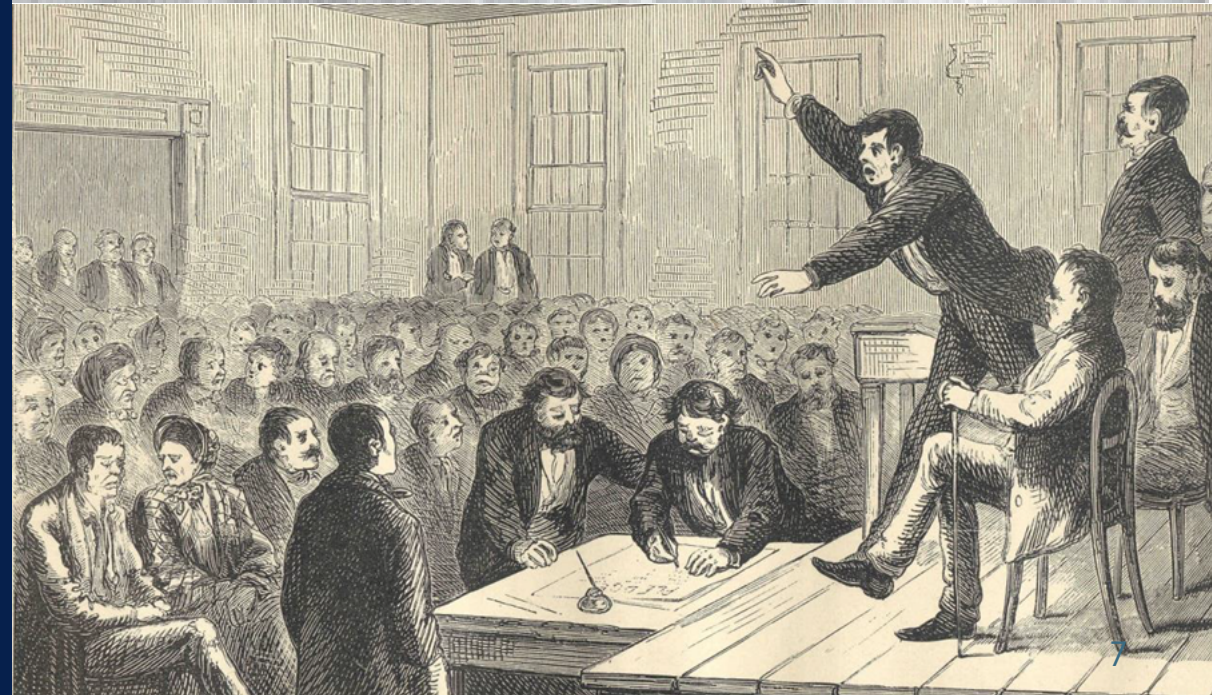


1864 - Containment

The Washingtonians

- Social network
- Public recitation of stories
- Faith-based change

1840 to 1855

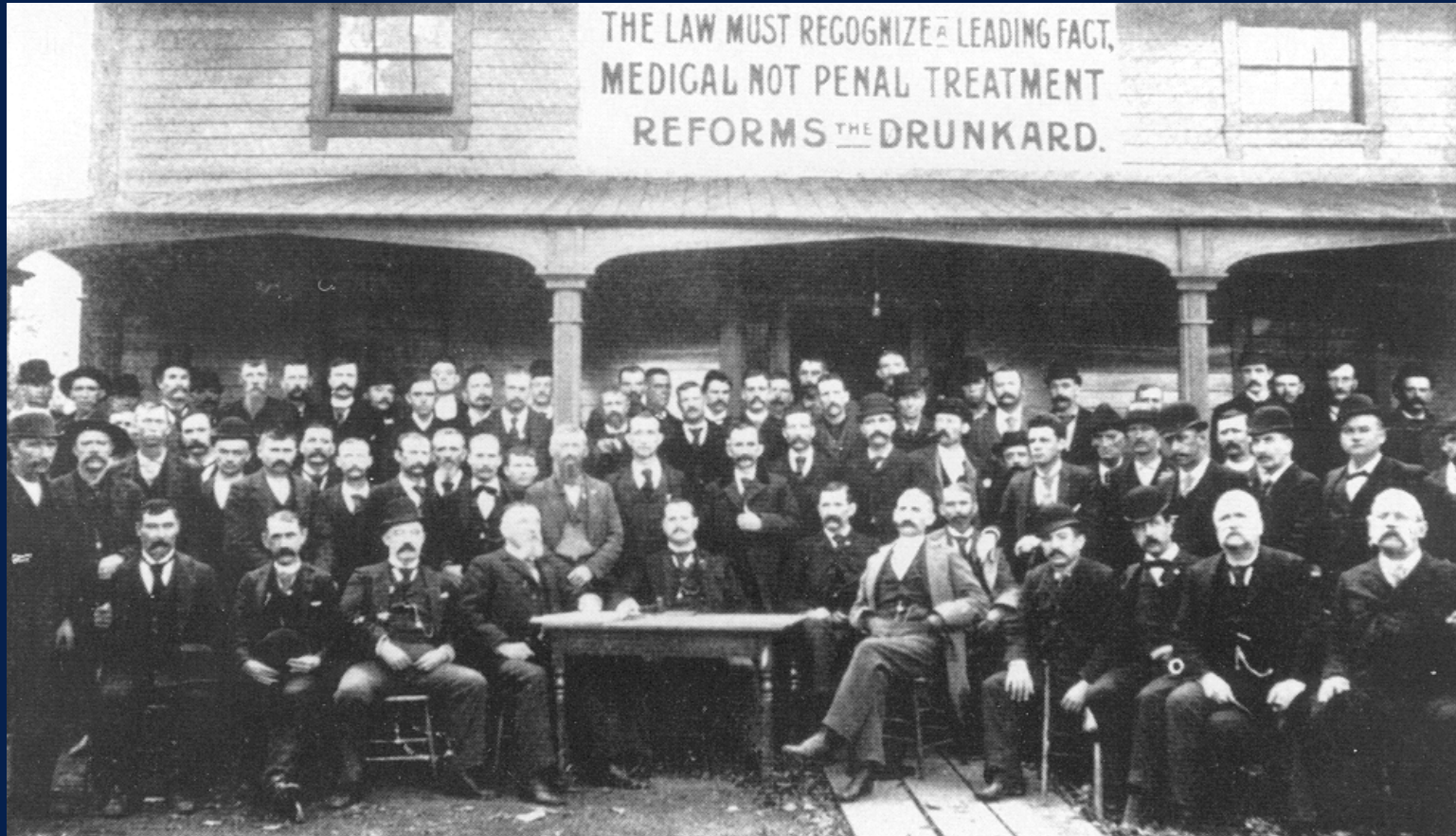


The Salvation Army



Founded in 1865, it continues to be the largest addiction treatment system in the world.

The Keeley League



- 1879 - First franchised, private, for-profit addiction treatment system
- 1891 – Keeley forms first patient mutual aid society

Keeley League

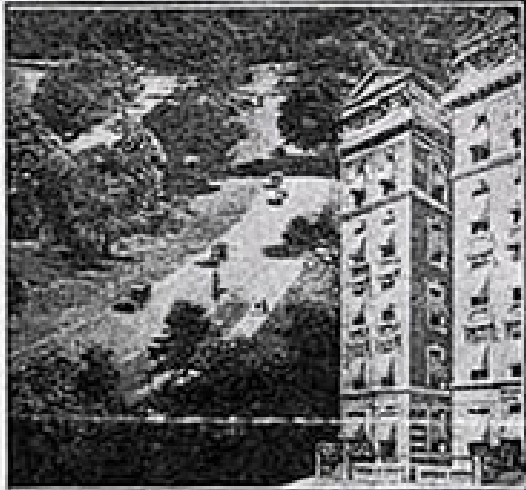
- Keeley used repeated “double chloride of gold” injections. Followed up with “take home bottles.”
- This was part of the dangerous patent medicine industry that led to subsequent regulation and development of science-based medications.
- However, other elements became part of later addiction treatment programs:
 - Regular sleep, exercise, health recreation
 - Abstinence and careful selection of friends
 - Continued socializing by graduates
 - Viewed inebriety as a disease
 - “Recovered” alcoholics worked in his centers

Towns Hospital

CHARLES B. TOWNS HOSPITAL
293 Central Park West New York, New York
For ALCOHOLISM and DRUG ADDICTION

ANY
PHYSICIAN
having an ad-
dict problem is
invited to write
for Hospital
literature.

This institution has specialized in addictions for over 30 years. Its method of treatment has been fully described in THE JOURNAL A. M. A.; in The Handbook of Therapy, from the A. M. A. Press; and in other scientific literature. The treatment is a regular hospital procedure, and provides a definite means for eliminating the toxic products of alcohol and drugs from the tissues. A complete Department of Physical Therapy, with gymnasium and other facilities for physical rebuilding, is maintained. Operated as an "open" institution. Physicians are not only invited but urged to accompany and stay with their patients.



Located Directly
Across from Central Park

1901

- Focused on removing the craving and restoring physical health and diet
- Varied from NY Inebriate Asylum about issues of treatment coercion
- Physicians were not only invited but urged to accompany and stay with their patients

Prohibition

- Based upon the concept that alcohol itself is the cause of alcoholism (and what was described at the time as personal and social evil), thus **no one** should drink.
- In the U.S., lasted from
 - 1919 until 1933



Drugs and the Legal System

- At the turn of the century, the sale of drugs was not controlled in any manner.
- Starting in the late 1800's, home remedies containing alcohol, opium, morphine, cocaine, and cannabis professing “cures” for any number of illnesses.
- Sigmund Freud experiments with cocaine and winds up recommending it for the treatment of morphinism for his friend and colleague Ernst von Fleischl-Marxow.
- The Pure Food and Drug Act, and later the Harrison Act (1914), created a split between legal and illegal drugs consumed by U.S. citizens.

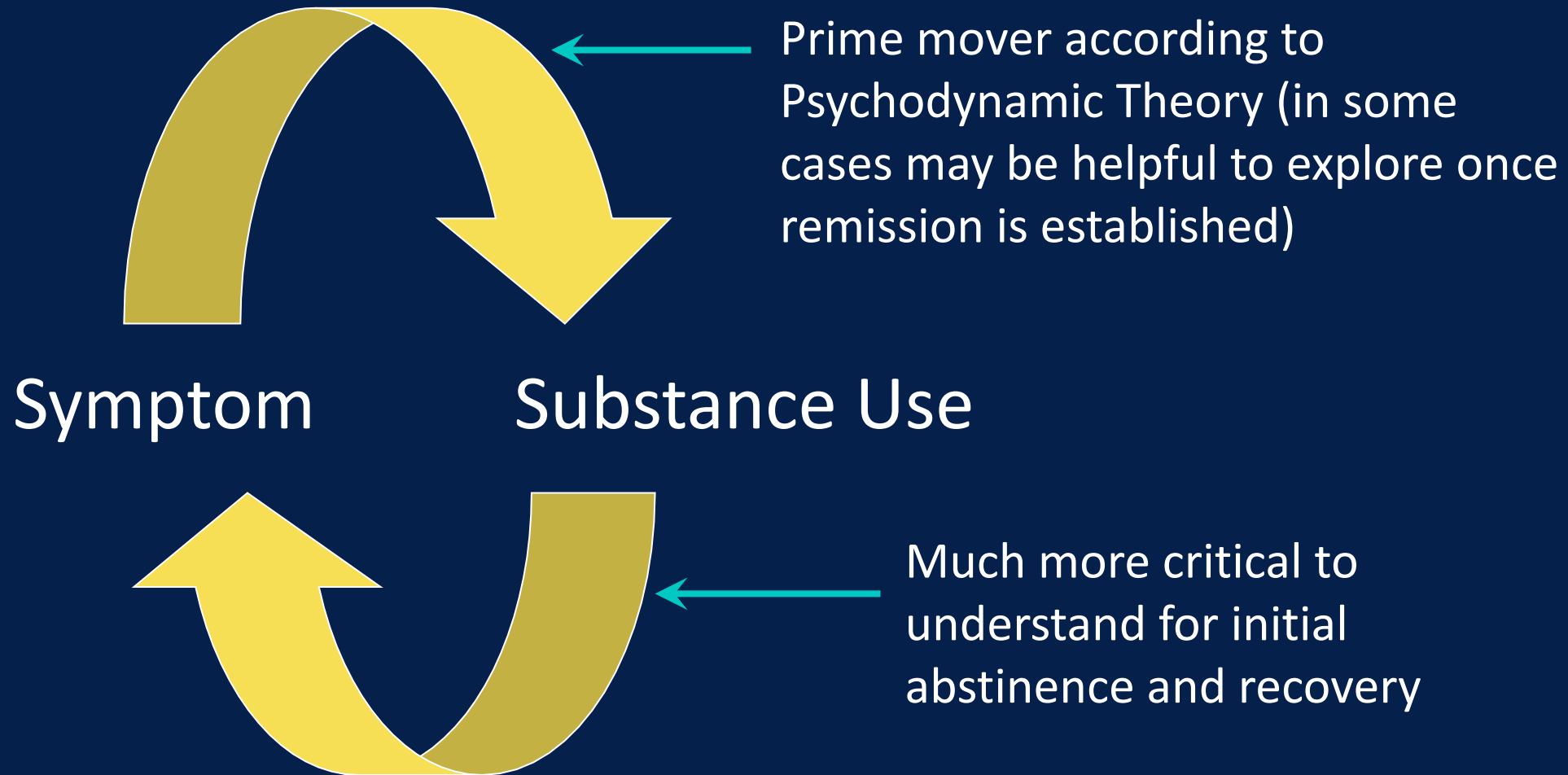
The Harrison Act

- Drugs deemed legal (and thus, taxed): alcohol and tobacco (Nicotine)
- Illegal drugs placed into a hierarchy
 - Heroin, cocaine, and many hallucinogens were placed as Schedule I. This includes peyote; however, Native Americans can apply for special dispensation as a religious sacrament.
 - Misplacements of certain drugs, notably marijuana. This increased the belief that the legal system does not understand addiction risk and is uninterested in medical or social safety.
- Paradoxically, the two legal drugs are the most medically toxic to the body.

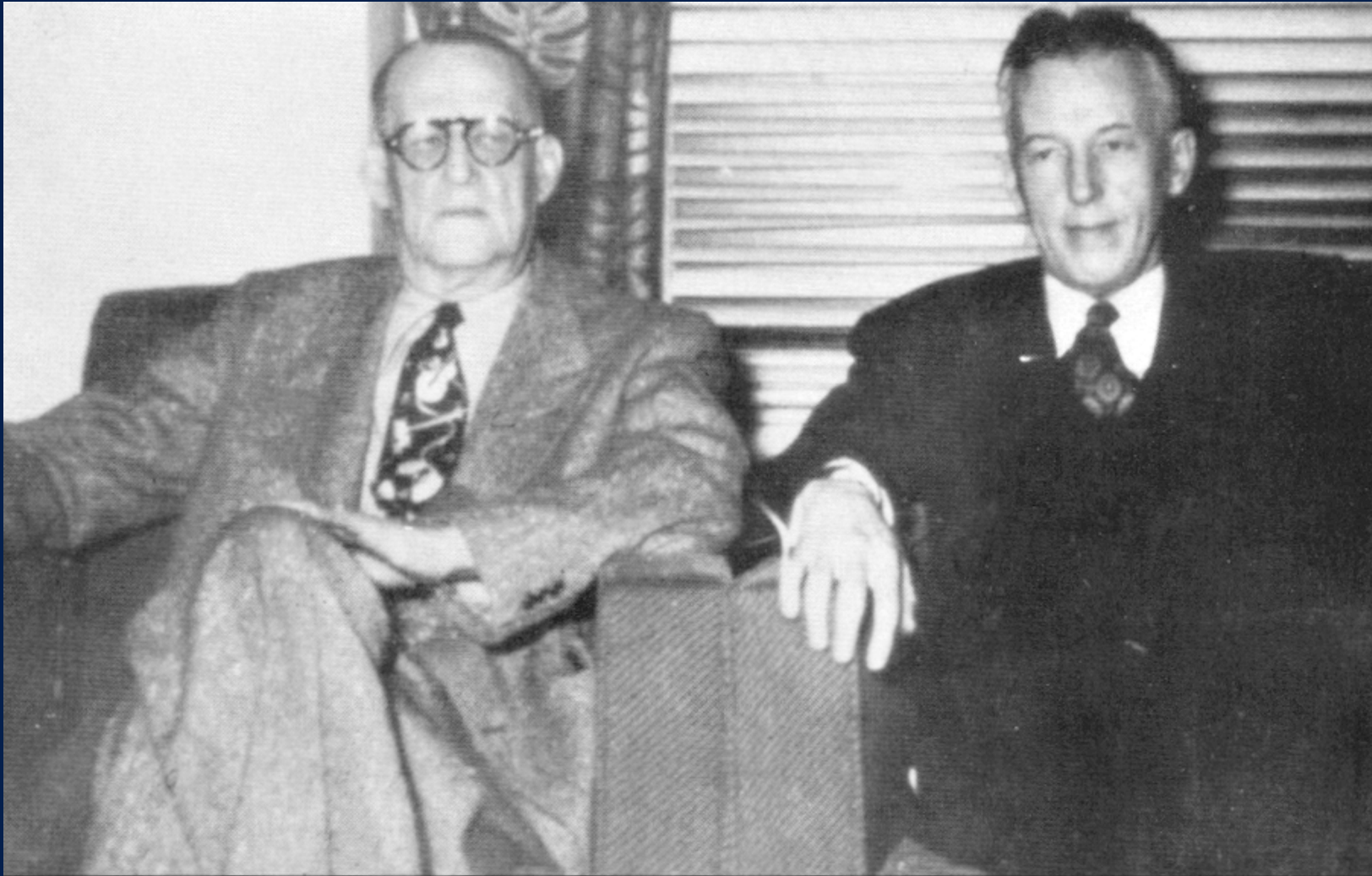
The Legal System

- The brain center that drives addiction was unaltered by the Harrison Act.
 - Once addicted, economics of supply and demand describes use of substances in such individuals as “inelastic demand.”
 - As a result, many individuals who develop addiction violate laws and become criminals.
- Today, the prison industry flourishes, and the treatment industry is all but defunct.
 - 65% of prison inmates meet criteria for SUDs.
 - Recent evolution of state and local drug court programs promise innovative and effective solutions.

Self-Medication Theory



Bob Smith and Bill Wilson



Met in 1935

Innovations from A.A.

- Emancipated spirituality from its roots in religious institutions.
- Legitimized varieties of spiritual experiences in recovery.
- Found alternatives to religious antidotes for guilt including self-inventory, confession, acts of restitution, and acts of service.
- Encouraged service work and working with others.
- Established the first chronic care system for a chronic disease.
- A.A. was a peer-led social movement that used a spiritually-based program with explicit instructions.

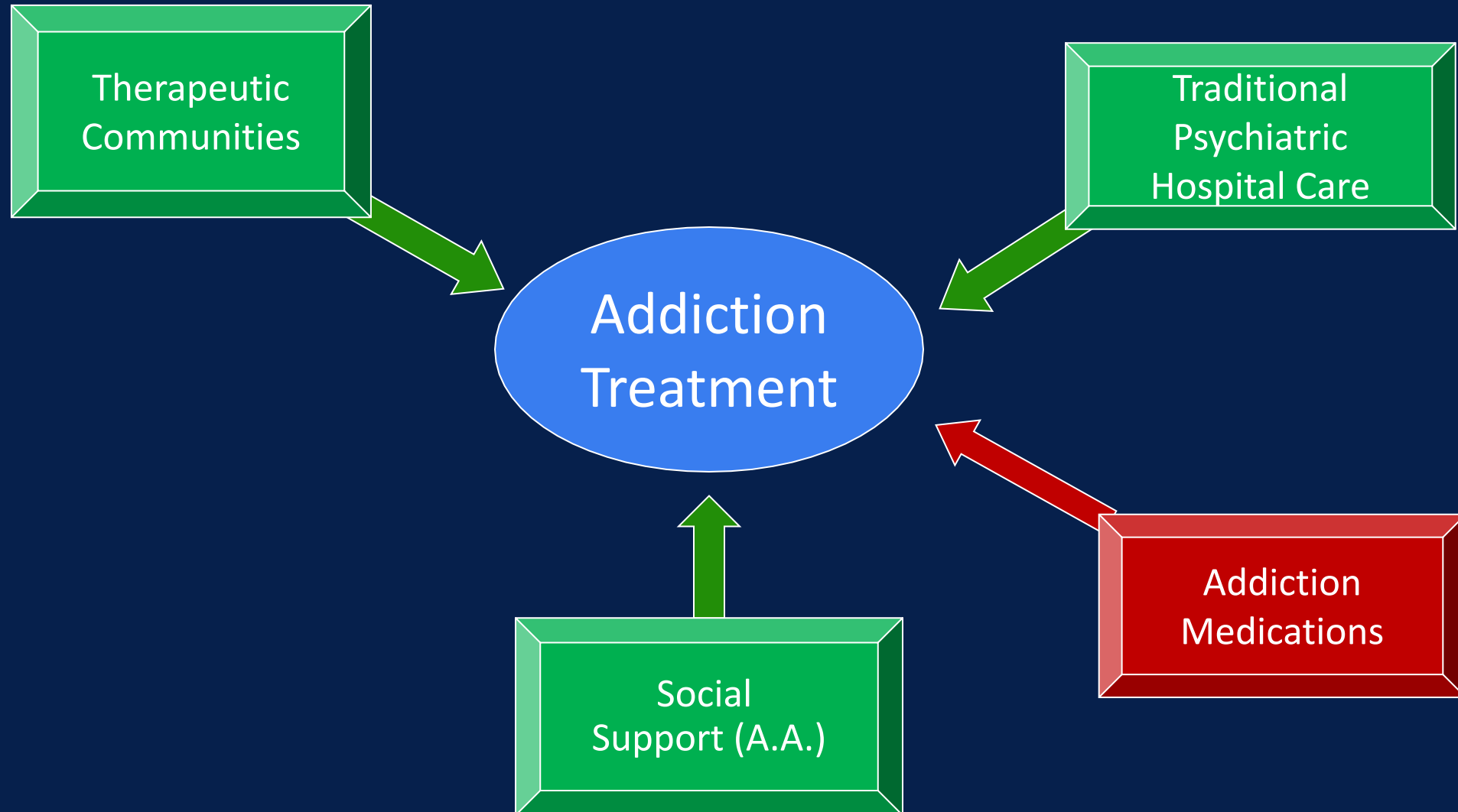
Hazelden and the Minnesota Model



A centralized treatment system that focused on detoxification and the principles of A.A.

Opened 1949

The Origins of Addiction Treatment



Elements of the Minnesota Model

- Alcoholism is an involuntary, primary, chronic, progressive biopsychosocial spiritual disease.
- Recovery is the goal of treatment, not abstinence.
- Focus on treatment of a central disease process, abandoning the psychoanalytic and moral models of addiction.
- Addiction is best treated in a milieu of dignity and respect.
- A revised view of motivation: initial motivation (or lack thereof) is not a predictor of outcome. Also, motivation is as much the responsibility of the milieu as the patient.

Federal Narcotics Farm

Lexington, Kentucky

For a long period of time, this was the world's epicenter for addiction research and drug treatment . Convicts did time alongside individuals who volunteered to enter the center for treatment.

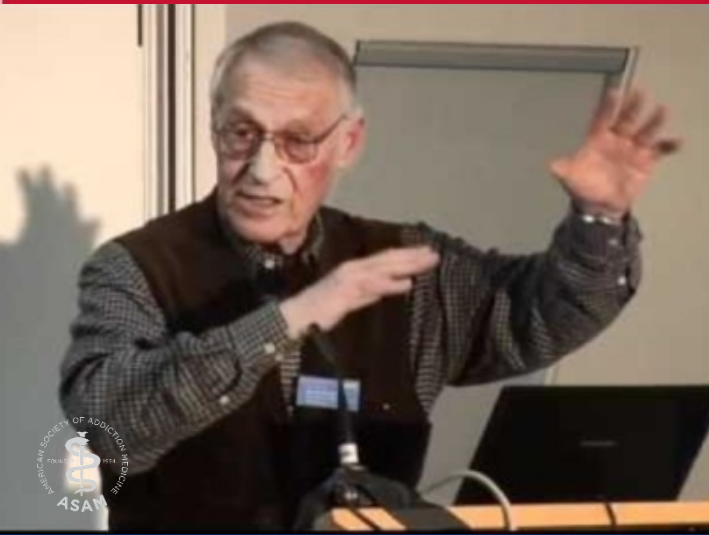
1935 to 1970



The Therapeutic Community

Theory, Model, and Method

George De Leon



The Therapeutic Community

- Whole person focused, centered on lifestyle changes
- Goals are:
 - Becoming pro-social
 - Honesty
 - Taking responsibility for self
 - Willingness to learn from others
- Democratically run, everyone, including staff, are part of the community
- Drives individual change through “community as method”
- Introduced the concept of ongoing support, most often lifelong disease management

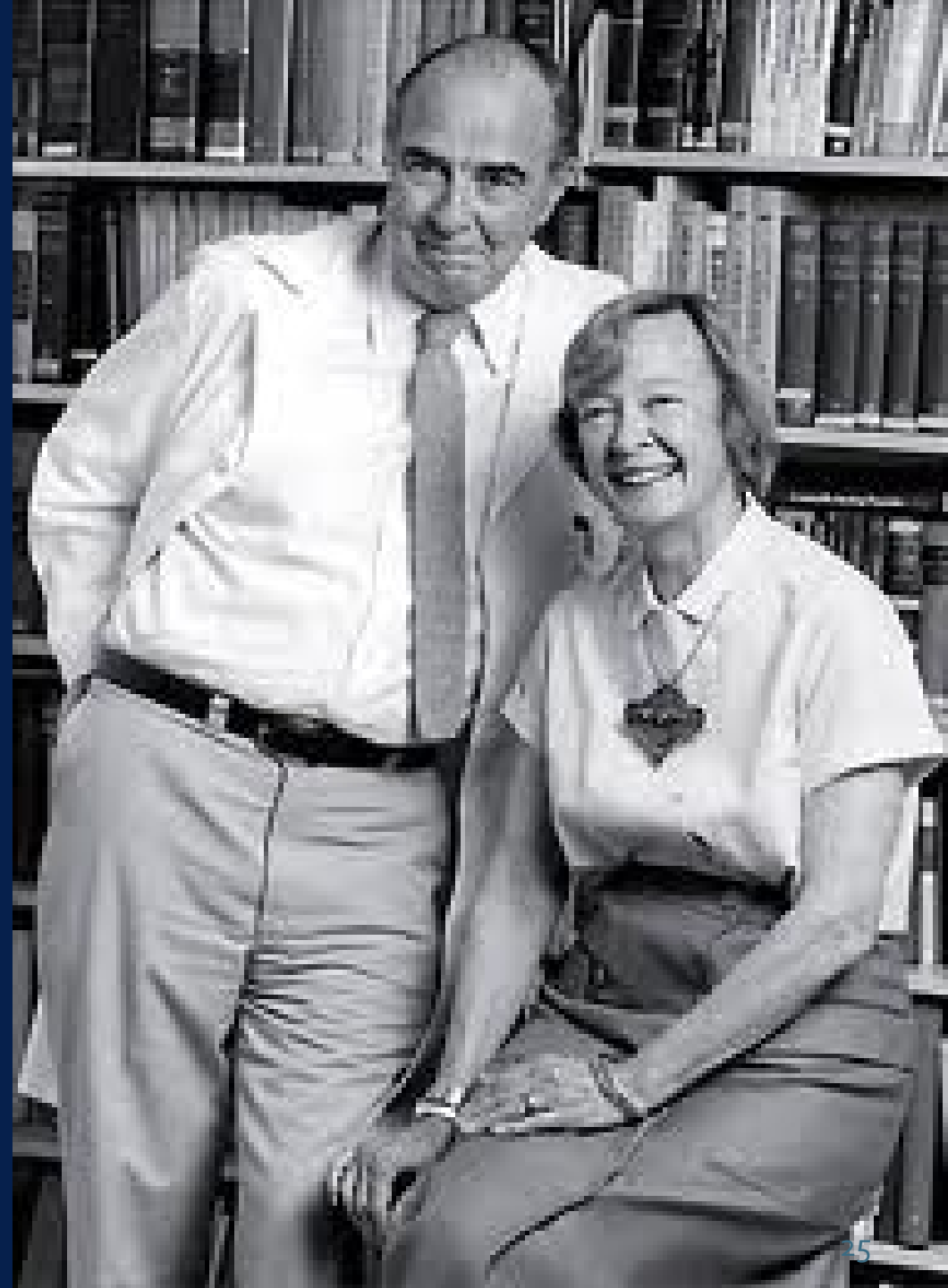
Synanon's Dederich

- Rebuilding character through community, peer pressure and confrontation.
- “Don’t mess with us – you can get killed dead, physically dead.”
- Founded 1958



Medications for the Treatment of OUD

- Heroin dispensing in England and Switzerland.
- Methadone therapy in the U.S. (1964)
 - Humane treatment in an era of discrimination and legal interdiction
 - Biological disease model
 - Although A.A. took this stand earlier, this was the first medical treatment that took a firm stand that addiction is a chronic disease.
 - In *The ASAM Criteria*, it is referred to as Opioid Treatment Services (OTS)



Drs. Dole and Nyswander

Addiction is a Brain Disease

- Alan Leshner, Ph.D. and former head of NIDA, began describing addiction as a **brain disease** in 1996
- He stated that addiction is a *disease of the brain* that has several important components:
 - A social context
 - Behavioral, psychological and spiritual aspects
- Recovery takes time, time measured in years

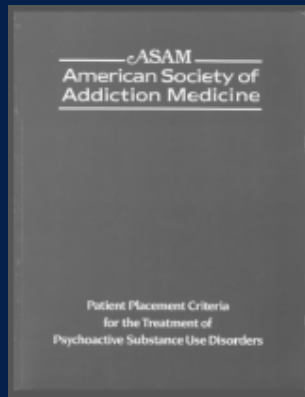
Lessons From History

- Addiction is an ever-present phenomenon, changing focus from time to time on different substances and behaviors. (Don't believe that the current drugs abused will be the primary drug of misuse!)
- Treatment has focused on religious conversion, psychotherapy, characterological manipulation, legal interdiction, and pharmaceutical intervention at various times—a single modality, universally applied, has, inevitably, failed.
- Short-term interventions **do not work**. Addiction is a chronic condition requiring long-term care.
- The illness is very complex and has multiple antecedents. The clinician must adapt his or her approach to each patient.

The ASAM Criteria

The Evolution of the ASAM Criteria

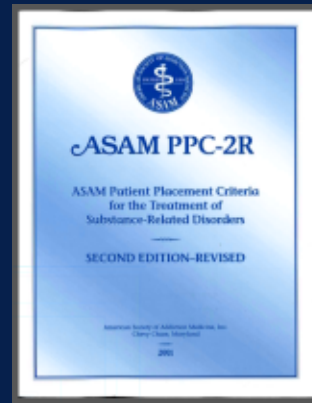
1991



1996



2001



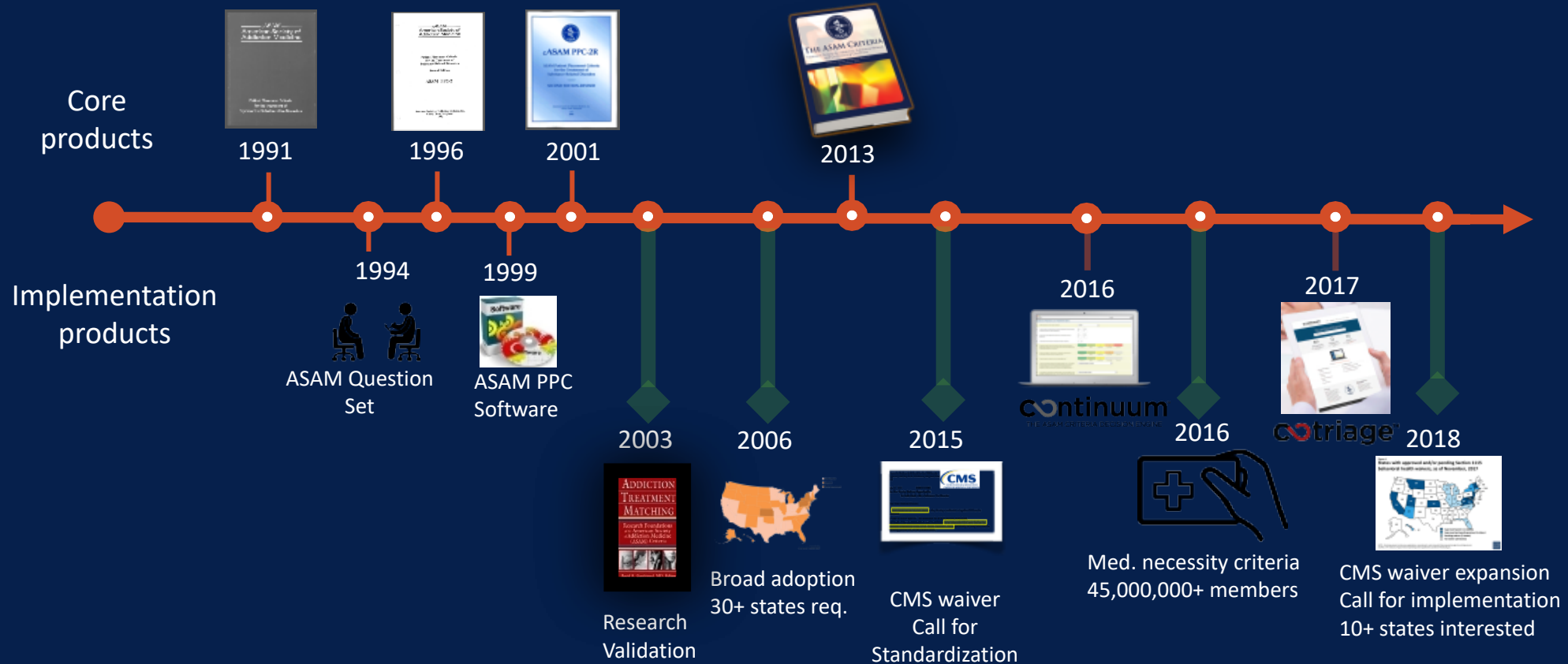
2013



For the least intensive or restrictive care that meets the patient's multi-dimensional needs and to ensure ongoing care and the optimal treatment outcome.

The ASAM Criteria

Widespread adoption & validation



The ASAM Criteria – Treatment Axis

Digits demarcate major types of treatment with decimal places defining intensity. The system is designed for increased granularity and refinement in the future.

Level 0.5 Early Intervention

Level 1 Outpatient Treatment

Prevention Services

Level 2.1 Intensive Outpatient

Less than three times per week, commonly individual services

Level 2.5 Partial Hospitalization

Group-based treatment at a specialized center

Level 3.1 Clinically Managed Low Intensity Residential Services

Level 3.3 Clinically Managed Medium Intensity Residential Treatment

Level 3.5 Clinically Managed High Intensity Residential Treatment

Level 3.7 Medically Monitored Intensive Inpatient Treatment

Level 4 Medically Managed Intensive Inpatient Treatment

Residential Services

Medical Hospital

The ASAM Criteria – The Dimensional Axis

- **Dimension 1:** Acute Intoxication and/or Withdrawal Potential
- **Dimension 2:** Biomedical Conditions and Complications
- **Dimension 3:** Emotional, Behavioral or Cognitive Conditions and Complications
- **Dimension 4:** Readiness to Change
- **Dimension 5:** Relapse, Continued Use or Continued Problem Potential
- **Dimension 6:** Recovery/Living Environment

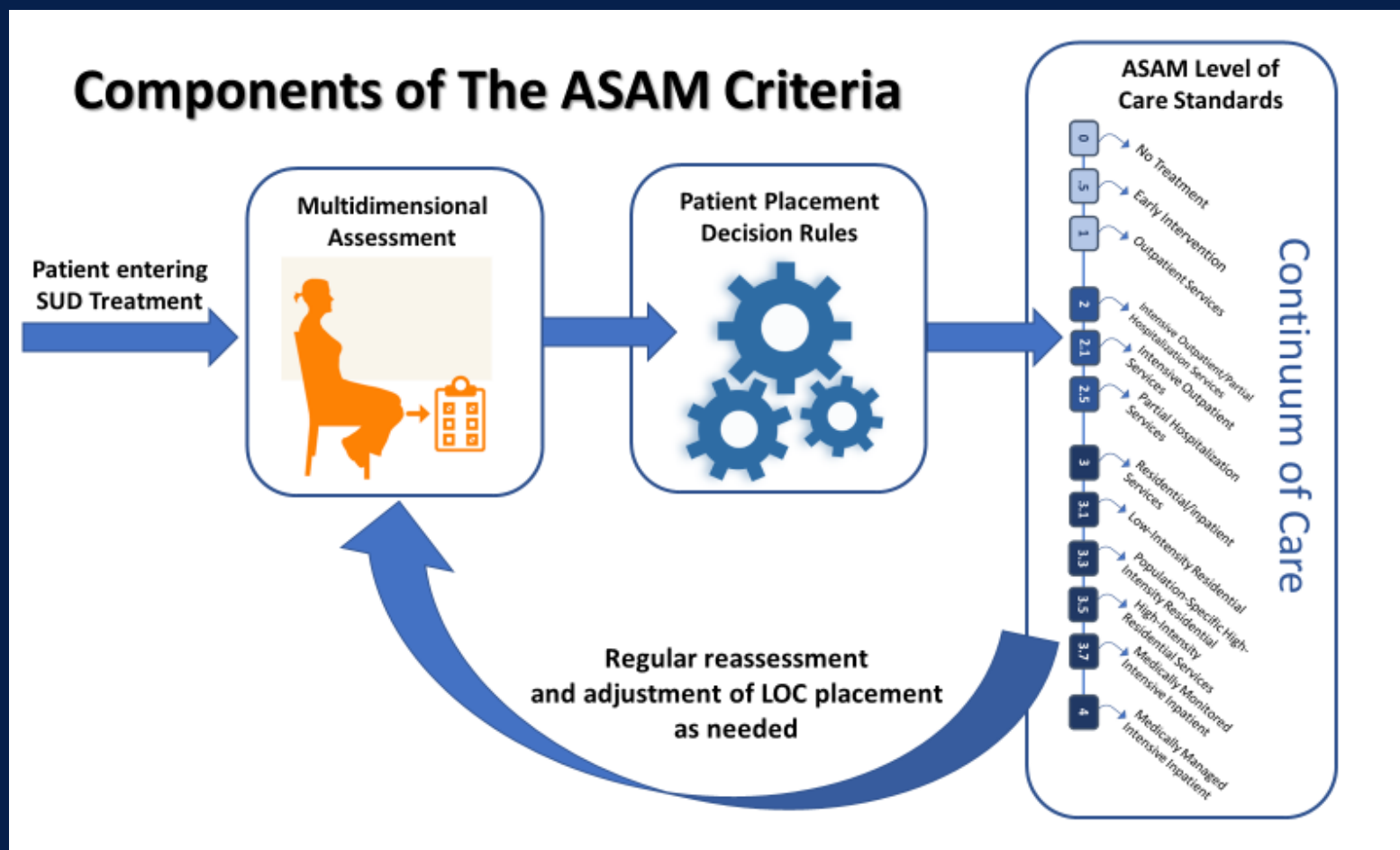
Putting the ASAM Criteria Axes Together

		Levels of Care								
		0.5	1	2.1	2.5	3.1	3.3	3.5	3.7	4
Dimensions of Care	Dimension 1									
	Dimension 2									
	Dimension 3									
	Dimension 4									
	Dimension 5									
	Dimension 6									

Disease Typology

- In many levels, there are specialty services outlined for:
 - Co-Occurring Capable services (a COC Program)
 - Co-Occurring Enhanced services (a COE Program)
 - Biomedical Enhanced services (a BIO Program)
 - Withdrawal Management services (a program with WM)
 - Opioid Treatment services (OTS must be available in all levels of care, some levels can do this through liaison with opioid treatment services - OTS)

Assessment & Care Placement



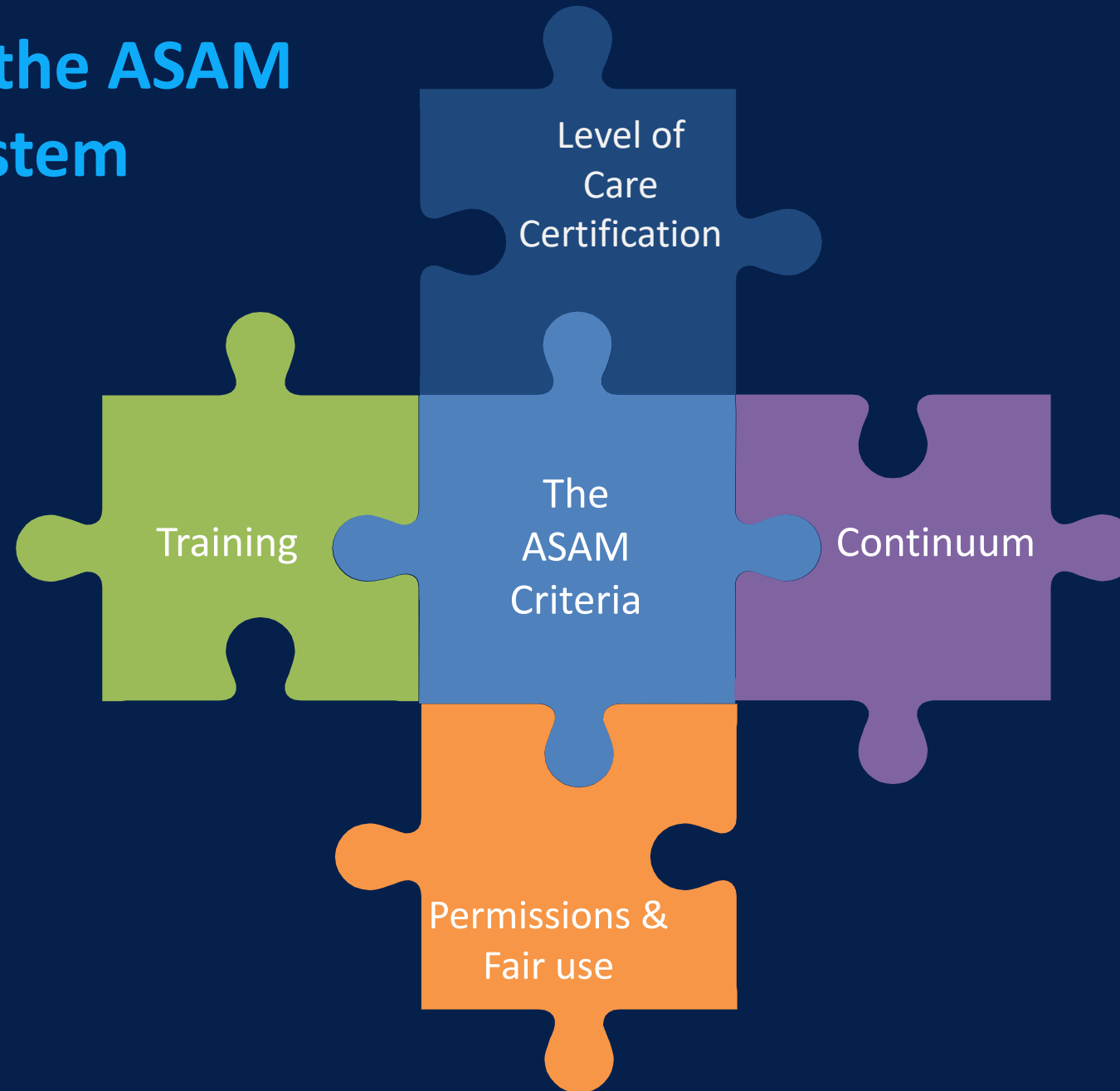
Integrated or Available Biomedical Care

- Pain and Addiction
- Care for Medical Complications of Addiction
- Treatment of addiction during pregnancy
- Consult liaison services at every major hospital
- Physician Involvement – when indicated – in **all** levels of care.

The ASAM Criteria

- Provides a template for the type and intensity of addiction treatment.
- Reiterates the importance of long-term management.
- Ensures cost-effective care.
- Ensures adequate staffing for the different levels of care.
- Emphasizes the importance of patient evaluation and ongoing reevaluation.
- Is the emerging national standard that will reengineer our disorganized and chaotic addiction treatment system in the U.S.

Components of the ASAM Criteria System



The ASAM Criteria System

- Definitions of Levels and Types of Care
- Implementation Training to ensure fidelity
- Treatment System Certification
- Proper Use of ASAM Criteria Language
- Assessment using the ASAM Continuum, a research-based assessment tool.

ASAM Continuum

Navigation Panel

Interview Panel

The screenshot displays the ASAM Continuum web application. The left sidebar contains a navigation panel with the following sections: Question and Answer Knowledgebase, David Gastfriend (with links for Change Password and Log Out), ASAM-David, and a list of assessment categories (General Information, Medical History, Employment and Support History, Drug and Alcohol, Legal Information, Family and Social History, Psychological, Interview Completion, and Review). The main content area is titled 'Interview' and shows patient information for Alex Smith (Birth Date: 03/01/2016, Gender: Female, Religion: Catholic, Ethnicity: Caucasian). Below this, there are several questions with dropdown menus for answers. The first question is 'Following this patient interview, what is the motivation for recovery at this time?'. The second question is 'For this patient, what is the likelihood of maintaining total abstinence in 90 days?'. The third question is 'For this patient, what is the likelihood of involvement in treatment in 90 days?'. The fourth question is 'Category of final disposition (i.e., where the patient is actually being sent to treatment)'. The fifth question is 'Sub-category of final disposition (i.e., where the patient is actually being sent to treatment)'. The sixth question is 'Reason for final disposition (i.e., where the patient is actually being sent to treatment), if different from recommended'. The seventh question is 'Was patient referred to a biomedically enhanced program?'. The interface includes a 'Print' button, a 'Save' button, and a 'Next >' button. A list of reasons for final disposition is shown, including 'Not applicable (patient agrees)/or No Answer', 'Final disposition is, or is expected to be, same as recommended by ASAM Criteria', 'Different treatment selected due to patient choice', 'Recommended program is unavailable in geographic region', 'Lack of physical access (e.g. transportation, mobility)', 'Conflict with job/family responsibilities', 'Patient lacks insurance', 'Patient has insurance but insurance will not approve recommended treatment', 'Program available but lacks opening or wait list too long', 'Program available but rejects patient due to patient characteristic(s), e.g. attitude, behavior, clinical status', 'Court or other mandated treatment is different or blocks ASAM Criteria recommendation', 'Patient rejects any treatment at this time', 'Patient eloped', 'Clinician disagrees with ASAM Criteria recommendation', and 'Not known'. The 'Clinician disagrees with ASAM Criteria recommendation' option is highlighted.

Continuum™
THE ASAM CRITERIA DECISION ENGINE

Question and Answer Knowledgebase
David Gastfriend
Change Password Log Out
ASAM-David

Home Assessment Interview

General Information
Medical History
Employment and Support History
Drug and Alcohol
Legal Information
Family and Social History
Psychological
Interview Completion
Review

Section % Complete
Review 0%

Terms and Conditions

Alex Smith
Birth Date: 03/01/2016 Gender: Female Religion: Catholic Ethnicity: Caucasian
Created By: ykane@asam.org
th, ough with referral in 30 days?

Print

Following this patient interview, what is the motivation for recovery at this time?

For this patient, what is the likelihood of maintaining total abstinence in 90 days?

For this patient, what is the likelihood of involvement in treatment in 90 days?

Category of final disposition (i.e., where the patient is actually being sent to treatment)

Sub-category of final disposition (i.e., where the patient is actually being sent to treatment)

Reason for final disposition (i.e., where the patient is actually being sent to treatment), if different from recommended

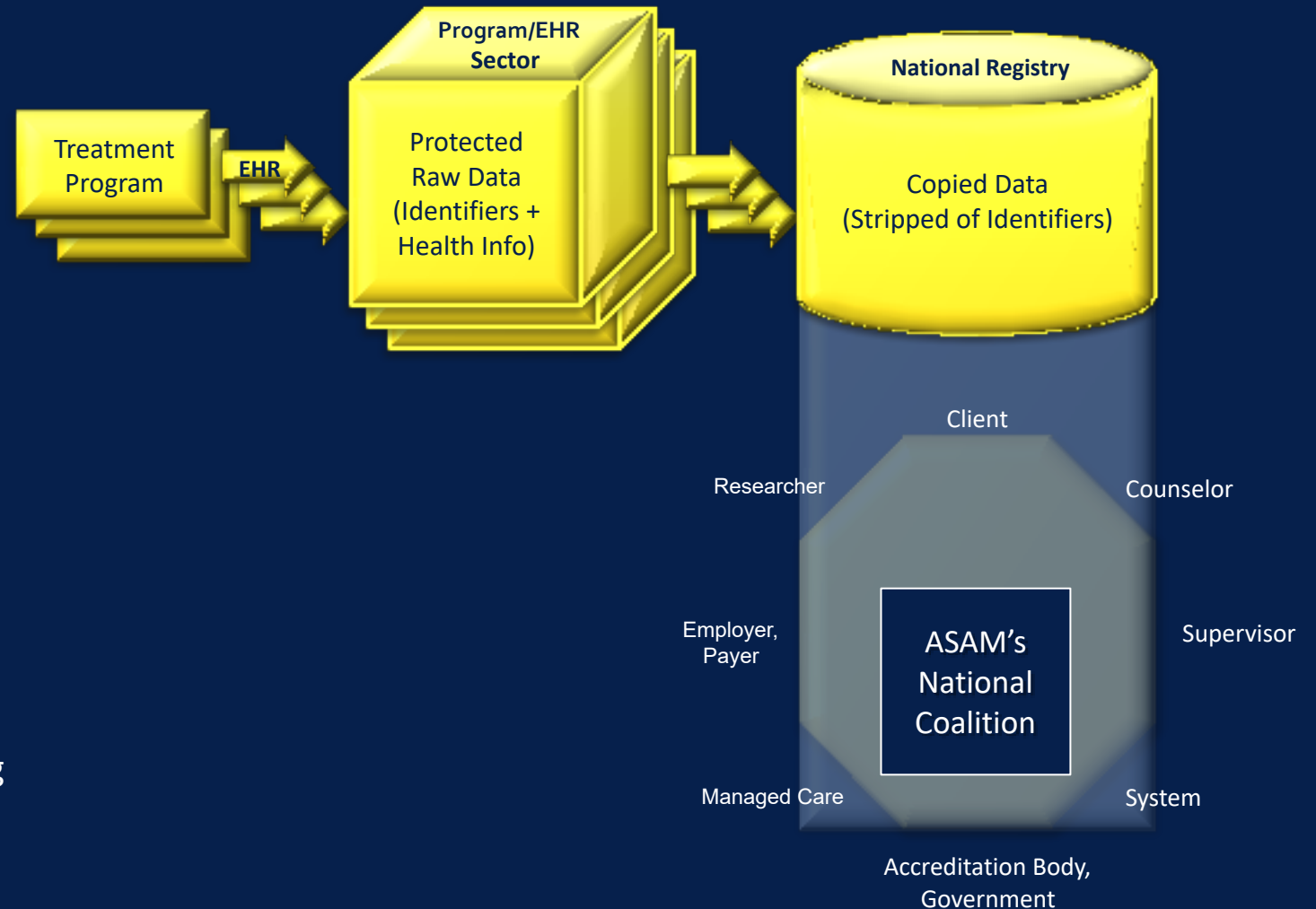
Was patient referred to a biomedically enhanced program?

Yes No

Not applicable (patient agrees)/or No Answer
Final disposition is, or is expected to be, same as recommended by ASAM Criteria
Different treatment selected due to patient choice
Recommended program is unavailable in geographic region
Lack of physical access (e.g. transportation, mobility)
Conflict with job/family responsibilities
Patient lacks insurance
Patient has insurance but insurance will not approve recommended treatment
Program available but lacks opening or wait list too long
Program available but rejects patient due to patient characteristic(s), e.g. attitude, behavior, clinical status
Court or other mandated treatment is different or blocks ASAM Criteria recommendation
Patient rejects any treatment at this time
Patient eloped
Clinician disagrees with ASAM Criteria recommendation
Not known
Clinician disagrees with ASAM Criteria recommendation

< Prev Save Next > Cancel

Future Goals: The ASAM Continuum & Repository



CONTINUUM™ Raw Program Data

- ✓ Referral, Placement, Care Planning
- ✓ HITECH Act, ACA population expansion

ASAM LOC Recommendation

- ✓ Parity Act monitoring & compliance
- ✓ MCO Prior Authorization & UR reform

State Databases & National Registry

- ✓ Needs analysis, Value-Based Contracting
- ✓ CMS 1115 Waiver requirements
- ✓ SAMHSA STR reporting requirements

The Fourth Addition of The ASAM Criteria

- The levels of care will be expanded and become more consistent.
- Increased integration of medical care and medications for treatment.
- Dimensions will be rearranged for more logical assessment.
- Treatment will be extended in scope and time frame in recognition of the need for long term care of this chronic condition.

The ASAM Definition of Addiction

The Definition of Addiction

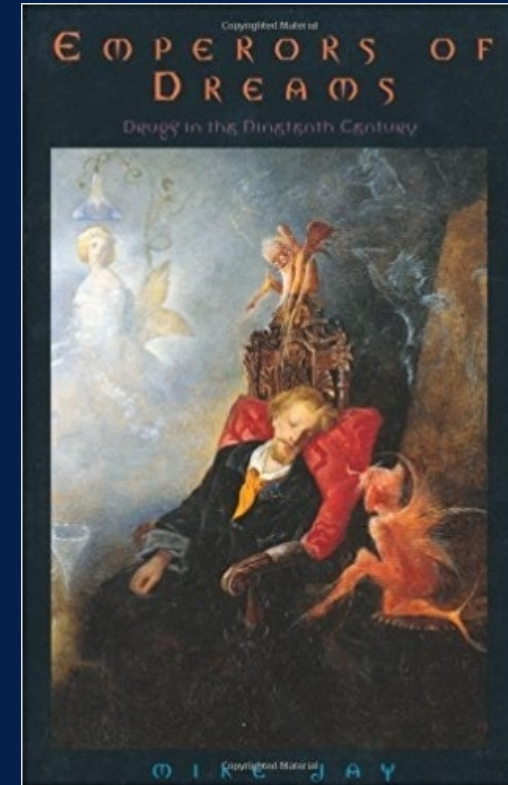
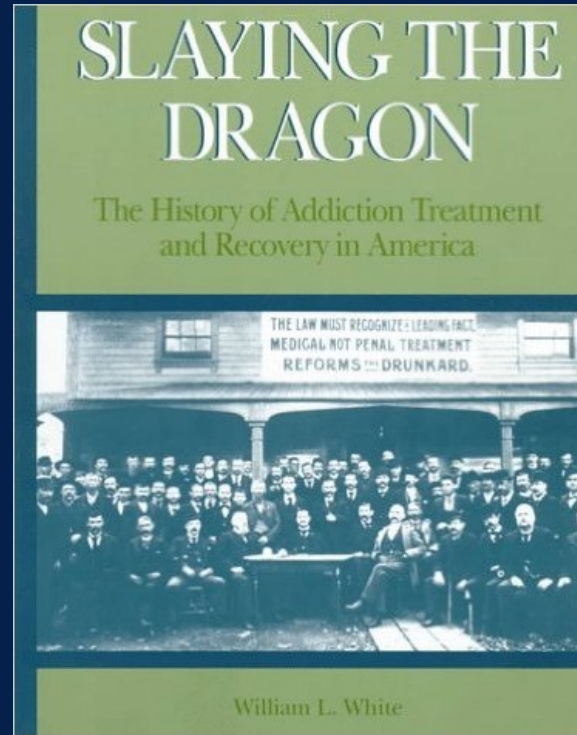
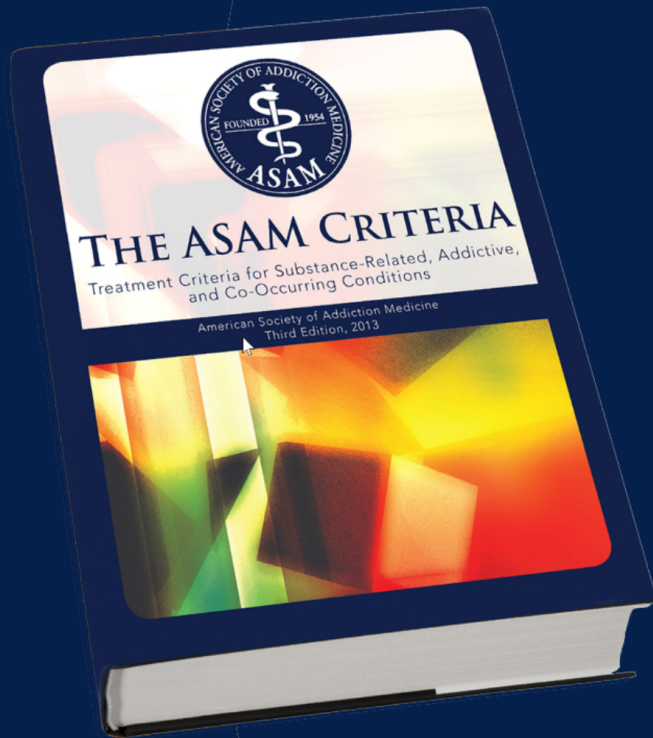
Recently Revised

- Addiction is a treatable, chronic medical disease involving complex interactions among brain circuits, genetics, the environment, and an individual's life experiences. People with addiction use substances or engage in behaviors that become compulsive and often continue despite harmful consequences.
- Prevention efforts and treatment approaches for addiction are generally as successful as those for other chronic diseases.

The Definition of Addiction

- Note that ASAM's definition of addiction is distinctly different than the criteria in the DSM-5.
 - DSM-5 uses characteristic signs and symptoms to make a diagnosis.
 - The ASAM definition used the word Addiction and outlines causation and characteristics of the disease.
- ASAM's definition emphasizes
 - Addiction is chronic
 - Addiction is treatable
 - The illness is complex, and its many etiologies are important in its genesis and treatment
 - The response to prevention and treatment is similar to other chronic conditions.

Further Reading



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Up Next: *Treatment for Different Stages of Life*— Maria H. Rahmandar, MD